


**The New Worlds Observer:  
Opening Direct Study of Exo-planets Using  
External Occulters**

Webster Cash  
University of Colorado  
&  
The NASA Institute for Advanced Concepts

1

*Do there exist many worlds, or is there but a  
single world? This is one of the most noble  
and exalted questions in the study of Nature*

St. Albertus Magnus (1206 – 1280)  
scholar and patron saint of scientists



PLAY **Bringing Science Fiction  
To Life**



REMAIN 0:25




3

**Exoplanets**  
The Planets That Circle Other Stars

There are probably 10,000 within  
10pc (30 light years) of the Earth.  
Indirect means have now found over 200.

If we can observe them directly, we  
will have a new field of astronomy  
every bit as rich as extragalactic.



Artists's View of Red Dwarf with Substellar Companion  
NASA, ESA, and G. Bacon (STScI) • STScI-PRC06-31b

4

**Boy Have We Got A Problem!**

An Earth-like Planet Is 10 Billion Times Fainter  
Than Its Parent Star

6pack vs Bill Gates entire fortune

AND

Less Than 0.1 Arcseconds Away

One Hubble Resolution Element

Courtesy of N-G

5

**Exploration & Science**

One doesn't discover new lands without consenting to  
lose sight of the shore for a very long time.  
*Andre Gide (1869 - 1951)*

Science requires a hypothesis suggesting  
knowledge of the answer while exploration has  
no such conceit.

New Worlds is Exploration First  
Science Second

6

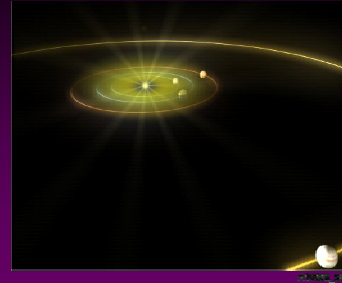
## Indirect Means

Over 200  
Exoplanets  
Now Known  
  
Mostly from  
Radial Velocity  
Measurements



7

## Direct Imaging is What We Want



Can We Ever Map Extra-Solar Systems In This Manner?

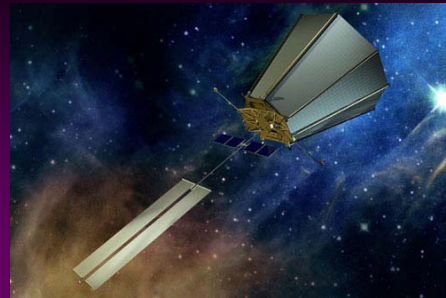
8

## Terrestrial Planet Finder

- ☞ Must be done from space because of the atmosphere
- ☞ Telescopes must be corrected to **PERFECTION**
  - to suppress scatter:  $\lambda/5000$  surface, 99.999% reflection uniformity
- ☞ TPF is *very* difficult
- ☞ NASA has not been good to TPF lately.
  - They are on indefinite hold.

9

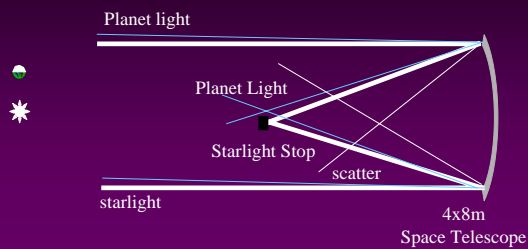
## TPF-C Terrestrial Planet Finder - Coronagraph



Works in Visible Band

10

## TPF-C Visible Light Coronagraph



11

## External Occulters

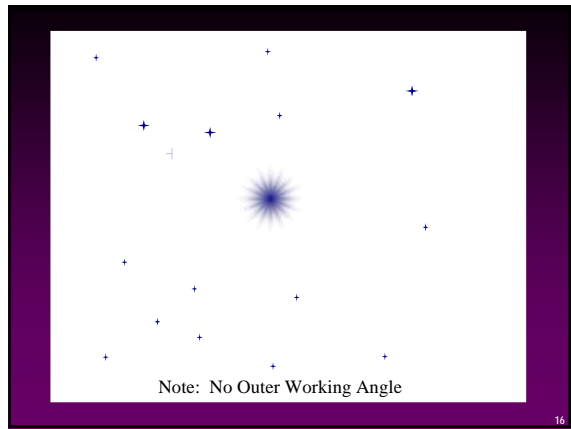
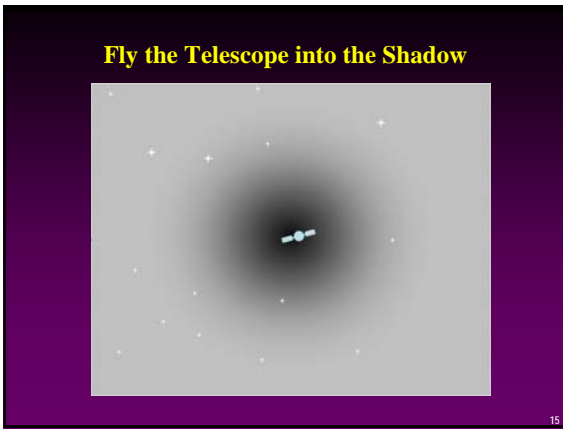
Let's Resurrect an Old Idea  
Spitzer (1962) appears to be the first

Just Keep the Starlight Out of the Telescope



### Occulter Diagram

Telescope big enough to collect enough light from planet  
 Occulter big enough to block star  
 Want low transmission on axis and high transmission off axis  
 Telescope far enough back to have a properly small IWA  
 No outer working angle: View entire system at once



## The Obstacle

### DIFFRACTION

Despite What They Tell You in Sixth Grade  
 Light Does Not Move In Straight Lines

### Occulters


- ☞ Several previous programs have looked at occulters
- ☞ Used simple geometric shapes
  - Achieved only  $10^{-2}$  suppression across a broad spectral band
- ☞ With transmissive shades
  - Achieved only  $10^{-4}$  suppression despite scatter problem

<http://umbras.org/>

BOSS

Starkman (TRW ca 2000)

## Extinguishing Poisson's Spot



- Occulters Have Very Poor Diffraction Performance
  - The 1818 Prediction of Fresnel led to the famous episode of:
  - Poisson's Spot (variously Arago's Spot)
  - Occulters Often Concentrate Light!
- Must satisfy Fresnel Equation, Not Just the Fraunhofer Equation
- Must Create a Zone That Is:
  - Deep Below  $10^{-10}$  diffraction
  - Wide A couple meters minimum
  - Broad Suppress across at least one octave of spectrum
- Must Be Practical
  - Binary Non-transmitting to avoid scatter
  - Size Below 150m Diameter
  - Tolerance Insensitive to microscopic errors

19

## A Solution Exists

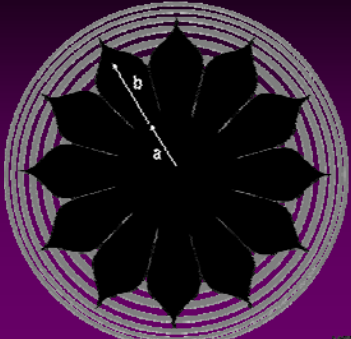
$$A(\rho) = 0 \quad \text{for} \quad \rho < a$$

and

$$A(\rho) = 1 - e^{-\left(\frac{\rho-a}{b}\right)^n} \quad \text{for} \quad \rho > a$$

20

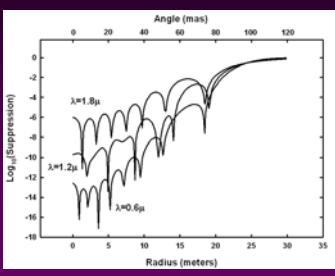
## Binary Shape



21

## Performance

A 50m diameter occulter at 50,000km will reveal Earths at 10pc

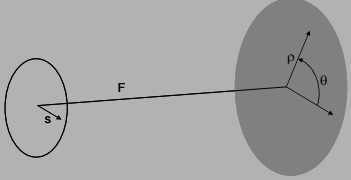


$a=b=12.5\text{m}$   
 $n=6$   
 $F=50,000\text{km}$

22

## Huygens-Fresnel Principle

$$E = \frac{E_0}{i\lambda r} \iint A e^{ikr} dS$$



23

## Fresnel Approximation

$$E = \frac{E_0 e^{ikF}}{i\lambda F} \int_0^\infty e^{\frac{ik\rho^2}{2F}} \rho \int_0^{2\pi} A(\theta, \rho) e^{\frac{ik\rho\cos\theta}{F}} d\theta d\rho$$

Then, if circularly symmetric:

$$E = \frac{E_0 k e^{ikF}}{iF} \int_0^\infty e^{\frac{ik\rho^2}{2F}} A(\rho) J_0\left(\frac{k\rho s}{F}\right) \rho d\rho$$

24

**Now, Evaluate Candidate Apodization Function**

$$A(\rho) = 1 \quad A(\rho) = e^{-\left(\frac{\rho-a}{b}\right)^n}$$

$$E = \frac{k}{iF} \int_0^a e^{\frac{ik\rho^2}{2F}} \rho d\rho + \frac{k}{iF} \int_a^\infty e^{-\frac{(\rho-a)^n}{b^n} + \frac{ik\rho^2}{2F}} \rho d\rho$$

25

**Dimensionless Natural Units**

$$\alpha = a\sqrt{\frac{k}{F}}$$

$$\beta = b\sqrt{\frac{k}{F}}$$

$$\tau = \rho\sqrt{\frac{k}{F}}$$

26

**Electric Field at Center:**

$$E = \frac{1}{i} \int_0^\alpha e^{\frac{i\tau^2}{2}} \tau d\tau + \frac{1}{i} \int_\alpha^\infty e^{\frac{i\tau^2}{2} - \left(\frac{\tau-\alpha}{\beta}\right)^n} \tau d\tau$$

$$E = 1 - e^{\frac{i\alpha^2}{2}} + \frac{1}{i} \int_\alpha^\infty e^{\frac{i\tau^2}{2} - \left(\frac{\tau-\alpha}{\beta}\right)^n} \tau d\tau$$

27

**Integrate by Parts**

Yields  $E = 1 + R$  where  $R$  is small as desired  
And

$$R = n \int_\alpha^\infty e^{\frac{i\tau^2}{2}} e^{-\left(\frac{\tau-\alpha}{\beta}\right)^n} \left(\frac{\tau-\alpha}{\beta}\right)^{n-1} d\tau$$

This closed-form integral represents the electric field at the center of the shadow

28

**Continue Integrating by Parts**

Drop Small Terms

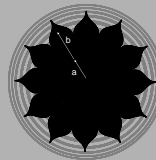
Dominant Term

$$R \leq \frac{n!}{\beta^n} \frac{1}{\alpha} \left(\frac{1}{\alpha}\right)^{n-1} = \frac{n!}{\alpha^n \beta^n}$$

If  $\beta^2 \gg n$

29

**Binary Apodization**



Difference between petals and circularly symmetric apodization.

$$R = \frac{k}{2\pi F} \int_a^\infty e^{\frac{ik\rho^2}{2F}} \rho \int_0^{2\pi} \left[ A(\rho, \theta) e^{-\frac{ik\rho \cos \theta}{F}} - A(\rho) e^{-\frac{ik\rho \cos \theta}{F}} \right] d\theta d\rho$$

30

## New Code

### Still Need Computer Simulations

- e.g. Some Disagreement about Minimum Number of Petals
- Direct Fresnel 2-d integral is very slow

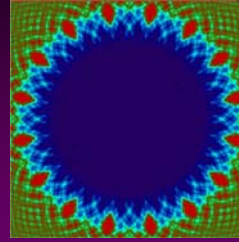
### Princeton, Goddard, NGST, CU All Working on this

### new cu code

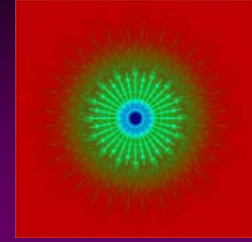
- Integrate Fresnel by parts
- Yields edge integral --- like Green's Theorem
- Very Fast
- Will Allow Diffraction Analysis with Any Error

31

## Shadow of 16 Petal Mask



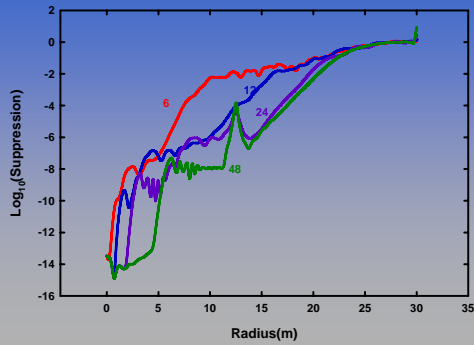
Linear



Log

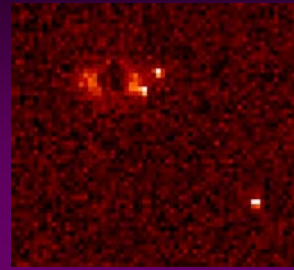
32

## Petal Profiles Effect of Petal Number



33

## Simulated Solar System



34

## New World Observer Architecture

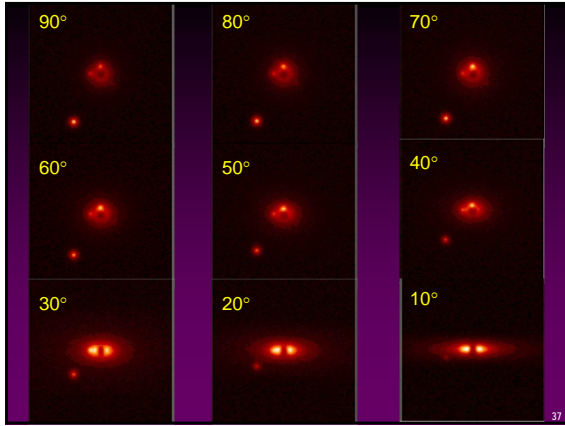
- ☞ 4m Telescope Diameter Breakpoint
- ☞ Two Starshades – one small and fast
- ☞ Very Powerful Scientifically
- ☞ Cost comparable to other missions on table

35

## The First Image of Solar System



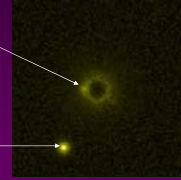
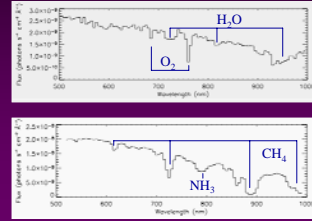
36



37

## Spectroscopy

☞  $R > 100$  spectroscopy will distinguish terrestrial atmospheres from Jovian with modeling



S. Seager

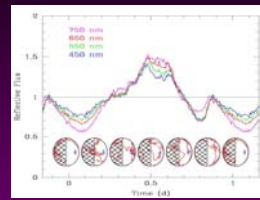
38

## Spectroscopic Biomarkers

Water	Necessary for habitability
Oxygen	Free oxygen results only from active plant life
Ozone	Results from free oxygen
Nitrous Oxide	Another gas produced by living organisms
Methane	Life indicator if oxygen also present
Vegetation	Red edge of vegetation at 750nm

39

## Photometry



*Calculated Photometry of Cloudless Earth as it Rotates*

It Should Be Possible to Detect Oceans and Continents!

40

## NWO Science

- ☞ Result of Nature interviews
  - Many discussions with press and other interested parties
- ☞ It is Life Seeking that EVERYBODY wants
  - Just finding water planets enough, but it's not what motivates the public
- ☞ Can there be a bigger or more important question for astronomers?
- ☞ New Worlds Observer can do it
  - \$2-3 Billion and 10 years



41

## Implementation

No pessimist ever discovered the secret of the stars or sailed an uncharted land, or opened a new doorway for the human spirit.

Helen Keller (1880 - 1968)

42



## Tall Poles

- ☞ Deployment of 35m shade to mm class tolerance
- ☞ Acquiring and holding line of sight
- ☞ Fuel usage, orbits and number of targets
- ☞ Stray Light – particularly solar

43

## Lab Studies

Figures 9-22 a) A test starshade, 15cm by 15 cm made from silicon by lithographic techniques and supported by three thin wires with a = b = 6 mm, e = 1.6, and d = 27 μm. Its image of starshade back illuminated by diverging beams of sunlight with approximately the same F-number as expected in flight, clearly visible on scattering off support wires, two rings of bright points that correlate with tips of petals and gaps at bases of petals, is shown illuminated with coherent light to prove 10/100 levels; one can see that diffraction by the starshade is primarily in the azimuthal direction.

b) Schematic of one hypothesis: a heliostats focus sunlight into the dark tunnel; a photon counting photometer scans the shadow to measure its depth; a camera can image the diffraction and scatter to indicate around the starshade.

c) Map of shadow from a precision circular disk, to visible light showing amplitude of Poisson's spots at a projected irradiance level 1% of unobscured level, verifying the photometric accuracy of measurement facility.

44

## Tolerance Analysis

- Proceeds by perturbation analysis
- Pitch or Yaw error – foreshortened to 1-ε in one dimension

$$E = \frac{k}{2\pi f^2} e^{i\beta z} \left[ (1-\epsilon) \int e^{\frac{\beta y^2}{2F}} \int e^{\frac{\beta z^2(1-\epsilon)^2}{2F}} dy dz + (1-\epsilon) \int e^{\frac{\beta y^2}{2F}} \int e^{\frac{\beta z^2(1-\epsilon)^2}{2F}} e^{\left(\frac{\sqrt{z^2 + \epsilon^2(1-\epsilon)^2}}{b}\right)} dy dz \right] \quad \text{Where } z = x/(1-\epsilon)$$

Reduces to:

$$R = n\epsilon \int_0^{\frac{\pi(\beta z + \alpha)^2}{2}} e^{-y^2} y^{n-1} dy \quad \text{Proving} \quad R = \epsilon R_{cs}$$

45

## Starshade Tolerances

- ☞ Position
  - Lateral: Several Meters
  - Distance: Many Kilometers
- ☞ Angle
  - Rotational: None
  - Pitch/Yaw: Many Degrees
- ☞ Shape
  - Truncation: 1mm
  - Scale: 10%
  - Blob: 3cm<sup>2</sup> or greater
- ☞ Holes
  - Single Hole: 3cm<sup>2</sup>
  - Pinholes: 3cm<sup>2</sup> total

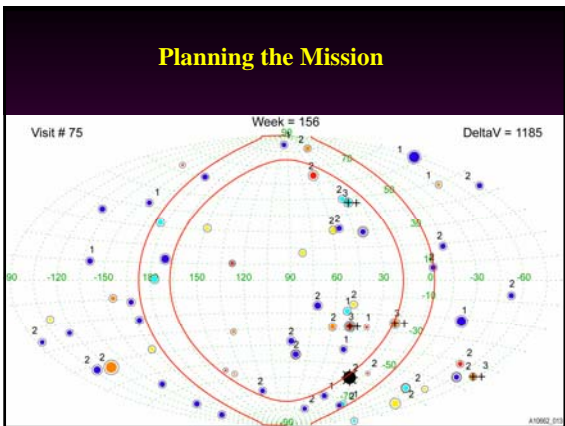
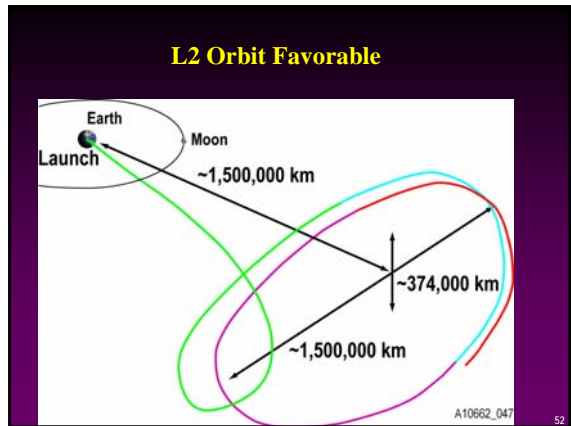
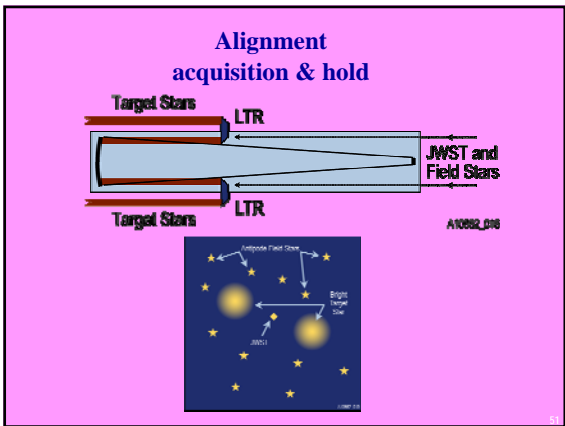
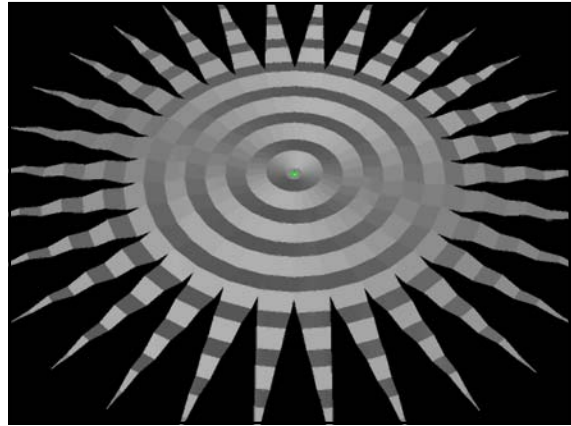
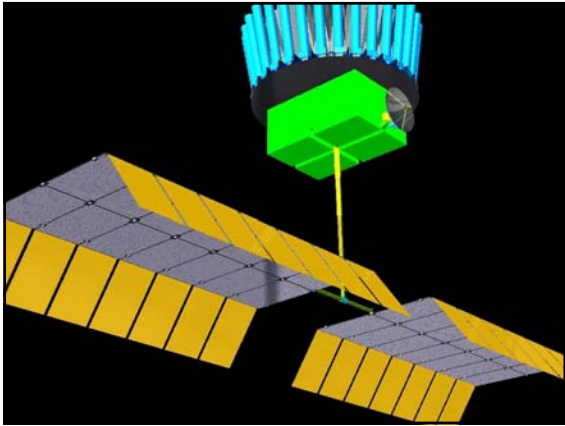
46

## Scatter Control View Nightside

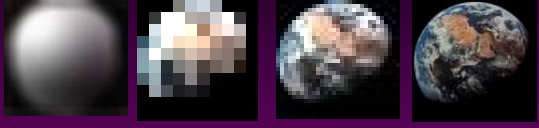
47

48





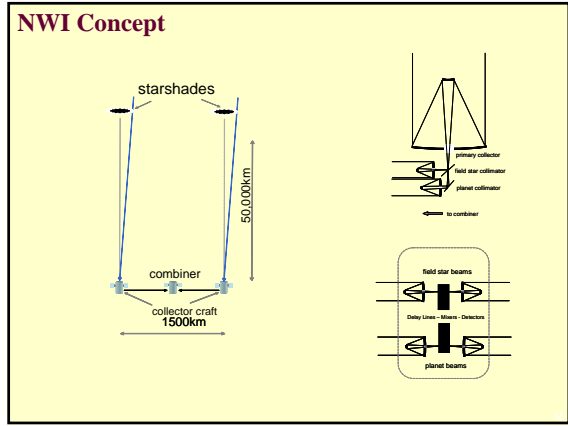
### TRUE PLANET IMAGING



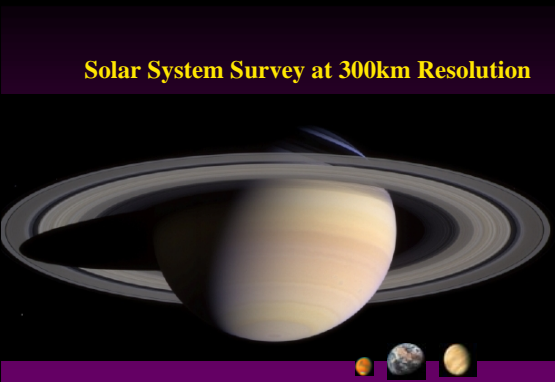
3000 km      1000 km      300 km      100 km

### Earth Viewed at Improving Resolution

55

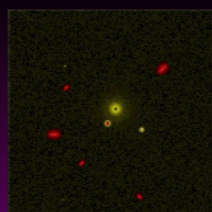


### Solar System Survey at 300km Resolution

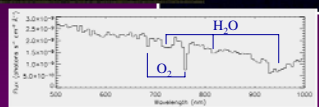


57


### Conclusion



By 2013



By 2025



Money for such a mission will be available in two years. This is an obvious candidate.

58